

Dependent Types & λ -Calculus

Dana S. Scott

University Professor Emeritus
Carnegie Mellon University

Visiting Scholar
University of California, Berkeley

dana.scott@cs.cmu.edu

Version of 9 October 2012

What is a Type?

Definition. Recall that for $X, Y \in \mathbb{P}$ we write

$$\mathbf{Pair}(X)(Y) = (X, Y) = \{2n \mid n \in X\} \cup \{2m+1 \mid m \in Y\},$$

$$\mathbf{Fst}(Z) = \{n \mid 2n \in Z\}, \text{ and}$$

$$\mathbf{Snd}(Z) = \{m \mid 2m+1 \in Z\}.$$

So we regard $\mathbb{P} = \mathbb{P} \times \mathbb{P}$, and for $\mathcal{A} \subseteq \mathbb{P}$ we write

$$X \mathcal{A} Y \text{ iff } (X, Y) \in \mathcal{A}.$$

Definition. By a **type** over \mathbb{P} we understand a **partial equivalence relation** $\mathcal{A} \subseteq \mathbb{P}$ where,

for all $X, Y, Z \in \mathbb{P}$, we have

$X \mathcal{A} Y$ implies $Y \mathcal{A} X$, and

$X \mathcal{A} Y$ and $Y \mathcal{A} Z$ imply $X \mathcal{A} Z$.

Additionally we write $X:\mathcal{A}$ iff $X \mathcal{A} X$.

Note: It is better NOT to pass to equivalence classes and the **quotient spaces**. But we can THINK in those terms if we like.

Definition. For subspaces $\mathbb{X} \subseteq \mathbb{P}$ write

$$[\mathbb{X}] = \{(X, X) \mid X \in \mathbb{X}\},$$

so that we may regard **subspaces** as **types**.

The Category of Types

Definition. The *product* of types $\mathcal{A}, \mathcal{B} \subseteq \mathbb{P}$ is defined as that relation where $X(\mathcal{A} \times \mathcal{B})Y$ iff $\mathbf{Fst}(X) \mathcal{A} \mathbf{Fst}(Y)$ and $\mathbf{Snd}(X) \mathcal{B} \mathbf{Snd}(Y)$.

Theorem. The product of two types is again a type, and we have

$$X : (\mathcal{A} \times \mathcal{B}) \text{ iff } \mathbf{Fst}(X) : \mathcal{A} \text{ and } \mathbf{Snd}(X) : \mathcal{B}$$

Definition. The *exponentiation* of types $\mathcal{A}, \mathcal{B} \subseteq \mathbb{P}$ is that relation where $F(\mathcal{A} \rightarrow \mathcal{B})G$ iff $\forall X, Y. X \mathcal{A} Y$ implies $F(X) \mathcal{B} G(Y)$.

Theorem. The exponentiation (= function space) of two types is again a type, and we have

$$F : \mathcal{A} \rightarrow \mathcal{B} \text{ iff } \forall X. X : \mathcal{A} \text{ implies } F(X) : \mathcal{B}.$$

Note: Types do form a category – expanding the topological category of subspaces – but we wish to prove much, much more.

Isomorphism of Types

Definition. The *sum* of types $\mathcal{A}, \mathcal{B} \subseteq \mathbb{P}$ is defined as that relation where $X(\mathcal{A} + \mathcal{B})Y$ iff either $\exists X_0, Y_0 [X_0 \mathcal{A} Y_0 \ \& \ X = (0, X_0) \ \& \ Y = (0, Y_0)]$ or $\exists X_1, Y_1 [X_1 \mathcal{B} Y_1 \ \& \ X = (1, X_1) \ \& \ Y = (1, Y_1)]$.

Theorem. The sum of two types is again a type, and we have $X : (\mathcal{A} + \mathcal{B})$ iff either **Fst**(X) = 0 & **Snd**(X) : \mathcal{A} or **Fst**(X) = 1 & **Snd**(X) : \mathcal{B} .

Definition. Two types $\mathcal{A}, \mathcal{B} \subseteq \mathbb{P}$ are *isomorphic*, in symbols $\mathcal{A} \cong \mathcal{B}$, provided there are $F : \mathcal{A} \rightarrow \mathcal{B}$ and $G : \mathcal{B} \rightarrow \mathcal{A}$ where $\forall X : \mathcal{A}. X \mathcal{A} G(F(X))$ and $\forall Y : \mathcal{B}. Y \mathcal{B} F(G(Y))$.

Theorem. If types $\mathcal{A}_0 \cong \mathcal{B}_0$ and $\mathcal{A}_1 \cong \mathcal{B}_1$, then

$$(\mathcal{A}_0 \times \mathcal{A}_1) \cong (\mathcal{B}_0 \times \mathcal{B}_1), \text{ and}$$

$$(\mathcal{A}_0 + \mathcal{A}_1) \cong (\mathcal{B}_0 + \mathcal{B}_1), \text{ and}$$

$$(\mathcal{A}_0 \rightarrow \mathcal{A}_1) \cong (\mathcal{B}_0 \rightarrow \mathcal{B}_1).$$

Some Categorical Properties

Definition. Let \mathcal{T} be the class of all types on the powerset space \mathbb{P} .

Theorem. Isomorphism is an **equivalence relation** on \mathcal{T} , and for all $\mathcal{A}, \mathcal{B}, \mathcal{C} \in \mathcal{T}$,

$$(\mathcal{A} \times \mathcal{B}) \cong (\mathcal{B} \times \mathcal{A}), \text{ and } (\mathcal{A} + \mathcal{B}) \cong (\mathcal{B} + \mathcal{A}), \text{ and}$$

$$((\mathcal{A} \times \mathcal{B}) \times \mathcal{C}) \cong (\mathcal{A} \times (\mathcal{B} \times \mathcal{C})), \text{ and}$$

$$((\mathcal{A} + \mathcal{B}) + \mathcal{C}) \cong (\mathcal{A} + (\mathcal{B} + \mathcal{C})), \text{ and}$$

$$(\mathcal{A} \times (\mathcal{B} + \mathcal{C})) \cong (\mathcal{A} \times \mathcal{B}) + (\mathcal{A} \times \mathcal{C}), \text{ and}$$

$$((\mathcal{A} \times \mathcal{B}) \rightarrow \mathcal{C}) \cong (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})), \text{ and}$$

$$(\mathcal{A} \rightarrow (\mathcal{B} \times \mathcal{C})) \cong (\mathcal{A} \rightarrow \mathcal{B}) \times (\mathcal{A} \rightarrow \mathcal{C}), \text{ and}$$

$$((\mathcal{A} + \mathcal{B}) \rightarrow \mathcal{C}) \cong (\mathcal{A} \rightarrow \mathcal{C}) \times (\mathcal{B} \rightarrow \mathcal{C}).$$

Theorem. The types on the powerset space \mathbb{P} form a **bi-cartesian closed category**, and the isomorphism classes of types satisfy all the usual laws of **addition**, **multiplication**, and **exponentiation**.

Dependent Types

Definition. Given $\mathcal{A} \in \mathcal{T}$, an *\mathcal{A} -indexed family of types* is a function $\beta: \mathbb{P} \rightarrow \mathcal{T}$, such that

$$\forall X_0, X_1. X_0 \mathcal{A} X_1 \text{ implies } \beta(X_0) = \beta(X_1).$$

Definition. The *dependent product* of an *\mathcal{A} -indexed family of types*, β , is defined as that relation such that

$$F_0(\prod X: \mathcal{A}. \beta(X)) F_1 \text{ iff}$$

$$\forall X_0, X_1. X_0 \mathcal{A} X_1 \text{ implies } F_0(X_0) \beta(X_0) F_1(X_1).$$

Definition. The *dependent sum* of an *\mathcal{A} -indexed family of types*, β , is defined as that relation such that

$$Z_0(\sum X: \mathcal{A}. \beta(X)) Z_1 \text{ iff}$$

$$\exists X_0, Y_0, X_1, Y_1 [X_0 \mathcal{A} X_1 \ \& \ Y_0 \beta(X_0) Y_1 \ \&$$

$$Z_0 = (X_0, Y_0) \ \& \ Z_1 = (X_1, Y_1)]$$

Theorem. The dependent products and dependent sums of indexed families of types are again types.

Systems of Dependent Types

Definition. We say that $\mathcal{A}, \mathcal{B}, \mathcal{C}, \mathcal{D}$ form
a system of dependent types iff

- $\forall X_0, X_1. [X_0 \mathcal{A} X_1 \Rightarrow \mathcal{B}(X_0) = \mathcal{B}(X_1)]$, and
- $\forall X_0, X_1, Y_0, Y_1. [X_0 \mathcal{A} X_1 \ \& \ Y_0 \mathcal{B}(X_0) Y_1 \Rightarrow$
 $\mathcal{C}(X_0, Y_0) = \mathcal{C}(X_1, Y_1)]$, and
- $\forall X_0, X_1, Y_0, Y_1, Z_0, Z_1. [X_0 \mathcal{A} X_1 \ \& \ Y_0 \mathcal{B}(X_0) Y_1 \ \& \ Z_0 \mathcal{C}(X_0, Y_0) Z_1 \Rightarrow \mathcal{D}(X_0, Y_0, Z_0) = \mathcal{D}(X_1, Y_1, Z_1)]$,

provided that $\mathcal{A} \in \mathcal{T}$, and $\mathcal{B}, \mathcal{C}, \mathcal{D}$ are
functions on \mathbb{P} to \mathcal{T} of the indicated
number of arguments.

Note: Clearly the definition can be extended
to systems of any number of terms.

Theorem. Under the above assumptions on

$\mathcal{A}, \mathcal{B}, \mathcal{C}, \mathcal{D}$, we always have

$$\prod x:\mathcal{A}. \sum y:\mathcal{B}(x). \prod z:\mathcal{C}(x, y). \mathcal{D}(x, y, z) \in \mathcal{T}.$$

Asserting Propositions

Definition. Every type $\mathcal{P} \in \mathcal{T}$ can be regarded as a *proposition* where *asserting* (or *proving* \mathcal{P}) means finding *evidence* $E:\mathcal{P}$.

Note: Under this interpretation of logic, asserting $(\mathcal{P} \times \mathcal{Q})$ means asserting a **conjunction**, asserting $(\mathcal{P} + \mathcal{Q})$ means asserting a **disjunction**, asserting $(\mathcal{P} \rightarrow \mathcal{Q})$ means asserting an **implication**, asserting $(\prod x:\mathcal{A}.\mathcal{P}(x))$ means asserting a **universal quantification**, and asserting $(\sum x:\mathcal{A}.\mathcal{B}(x))$ means asserting an **existential quantification**.

Definition. For $\mathcal{A} \in \mathcal{T}$ the *identity type* on \mathcal{A} is defined as that relation such that

$$z(x \equiv_{\mathcal{A}} y)w \text{ iff } z \mathcal{A} x \mathcal{A} y \mathcal{A} w.$$

Example: Given $F:(\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{A}))$, then asserting $\prod x:\mathcal{A}.\prod y:\mathcal{A}.\prod z:\mathcal{A}.\ F(x)(F(y)(z)) \equiv_{\mathcal{A}} F(F(x)(y))(z)$ is the same as asserting that F is an **associative operation**.

Computability as a Modality

Definition. Let $\Sigma \subseteq \mathbb{P}$ be the set of all the *recursively enumerable* subsets of \mathbb{N} .

For $\mathcal{A} \in \mathcal{T}$ define $\#\mathcal{A} = \mathcal{A} \cap \Sigma$.

Note: Because $\mathbb{P} = \mathbb{P} \times \mathbb{P}$ and $\Sigma = \Sigma \times \Sigma$, they are trivially isomorphic types. But they should not be confused with the non-isomorphic types $[\mathbb{P}]$ and $[\Sigma]$.

Theorem. $([\mathbb{N}] \rightarrow [\mathbb{N}])$, $([\mathbb{N}] \rightarrow [\mathbb{N} \cup \{\emptyset\}])$, $\#([\mathbb{N}] \rightarrow [\mathbb{N}])$, and $\#([\mathbb{N}] \rightarrow [\mathbb{N} \cup \{\emptyset\}])$ correspond to the *Baire space*, the superspace of *partial functions*, the space of *total recursive functions*, and the space of *partial recursive functions*.

Example: For $\mathcal{A}, \mathcal{B} \in \mathcal{T}$, asserting

$\# \sum_{F: \mathcal{A} \rightarrow \mathcal{B}} \sum_{G: \mathcal{B} \rightarrow \mathcal{A}}$

$(\prod_{X: \mathcal{A}} X \equiv_{\mathcal{A}} G(F(X)) \times \prod_{Y: \mathcal{B}} Y \equiv_{\mathcal{B}} F(G(Y)))$

is the same as asserting that \mathcal{A} and \mathcal{B} are

recursively isomorphic.

Note: \mathcal{T} is also a complete lattice, being closed under arbitrary **intersection**.

Combining Logic & Computability

The types over \mathbb{P} model Martin-Löf Type Theory,
including the usual **dependent types**,
the **polymorphic types** (via \cap),
and **computable notions** – both for
elements and for mappings.

Via $\#$, computability can be **required** as needed.

Logical validities, then, are those propositions
asserted by computable elements in Σ .

The modality $\#$ also has a **dual notion** a making
the assertion of

$(\# \mathcal{A} \rightarrow \mathcal{B})$ equivalent to asserting $(\mathcal{A} \rightarrow \mathfrak{b} \mathcal{B})$.

Further refinements could be introduced
by using the modalities of **other applicative
subalgebras** of \mathbb{P} , such as Σ_n^0 or Σ_n^1 .

